

Sy H. Bucholz

Counsel

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Sy has represented clients engaged in a broad range of corporate and corporate financing transactions, securities and securities industry regulatory matters and commercial leasing activities. Over the years, his clients have included multi-million dollar businesses with US and overseas operations, mid-sized service, distribution and manufacturing enterprises, professional practices, and family-owned entrepreneurship and individual and venture investors.

Sy's corporate work has included the formation and development of corporations, limited liability companies, partnerships and joint ventures for domestic and foreign principals, as well as the representation of buyers or sellers in merger and acquisition transactions. For client financing projects, Sy provides counseling in connection with private placement and private equity transactions, as well as for secured and unsecured debt financing and transactions involving public companies.

In his securities industry work, Sy has guided many domestic and foreign-owned securities brokerage firms, as well as individual brokers, through the processes of broker-dealer formation, registration and expansion, as well as assistance with ongoing net capital, supervisory, operational and investigatory and enforcement issues, particularly those involving the SEC, FINRA and other national and state regulatory authorities. Sy's securities industry work also includes formation, registration, counseling and regulatory guidance for federally and state registered investment advisory and money management firms.

Services

Business & Corporate
Finance
Financial Services
Real Estate

Admissions

New York, 1965
U.S. District Court, Southern
District of New York, 1965

For those firm business clients seeking assistance in connection with the acquisition of commercial facilities – whether for a small retail store or a 100,000+ sq. ft. office or distribution complex, Sy reviews and analyzes the proposed lease, sublease or space-sharing arrangement, and assists in the lease negotiating process as desired by the client. When the facilities being acquired are located outside the New York metropolitan area or the other jurisdictions in which Foster Garvey has offices, Sy is able to consult, as needed and authorized by the client, with other attorneys familiar with local market conditions and practices applicable to real estate those areas.

Education

J.D., Harvard Law School, 1961

B.A., Cornell University, 1958

Honors & Recognition

New York magazine, Legal Leaders “New York Area’s Top Rated Lawyers,” 2012

Martindale-Hubbell AV Preeminent rating

Legal Alerts

SEC Updates “Accredited Investor” Definition for 21st Century -- Rule Changes Offer Greater Flexibility for Private Investment Participants

9.3.20

Frustration of Purpose in New York Commercial Lease Disputes – What We Can Learn From Past Disasters

4.15.20

New York Cybersecurity Regulation for Financial Institutions Goes Into Effect

4.19.17

FINRA 2013 Examination Priorities for Broker-Dealers

4.4.13

Dodd-Frank and New SEC Rulemaking Initiatives: A New Regulatory Landscape for Investment Advisers

12.14.10

FINRA 2010 Field Examinations
4.14.10

FINRA 2009 Field Examinations
5.8.09

Soft Dollar Practices in 2007
5.7.07