

# CORPORATE GOVERNANCE & COMPLIANCE

Hodgson Russ advises public and private companies, nonprofit organizations, boards of directors, and executives on best practices in corporate governance and regulatory compliance. We possess extensive experience with financial institutions, securities matters, business litigation and bankruptcy. This experience allows us to give our clients depth of insight and perspective regarding corporate governance matters.

Our attorneys are thoroughly versed in the myriad issues and regulations applicable to the operations of corporate boards and the conduct of their directors, including compliance with the Sarbanes-Oxley Act, the Dodd-Frank Wall Street Reform and Consumer Protection Act, Securities and Exchange Commission (SEC) rules, and other related legislation.

We assist corporate clients in developing charters, bylaws, and compliance policies; in performing board self-assessments and in creating board structure. Hodgson Russ attorneys provide advice on fiduciary duties, liability issues, and executive compensation matters. We respond to inquiries from the SEC and other government agencies and assist in conducting internal investigations related to compliance violations, fraud allegations, and whistleblower claims. Hodgson Russ has been awarded prestigious "Best Law Firms" Metropolitan Tier rankings by Best Lawyers/ U.S. News & World Report in the Corporate Compliance Law and Corporate Governance Law categories.

# In the News

Gary Schober Gives Insight to Wegmans' Discontinuation of SCAN App WGRZ, September 15, 2022

# **Press Releases**

Hodgson Russ Receives Top Tier National and Metropolitan Rankings in 2022 Best Law Firms Listings

Hodgson Russ Press Release, November 15, 2021

### **Publications**

Women on Corporate Boards Study – New Corporate Reporting Requirements Now in Effect in New York

Hodgson Russ Corporate Governance & Compliance Alert, June 30, 2020

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SEC Updates Rules on Financial Disclosures for Business Acquisitions and Dispositions Hodgson Russ Securities Regulation & Corporate Compliance Alert, June 5, 2020

Lessons From The Equifax Data Breach Cybersecurity & Privacy Alert, December 17, 2018

# Presentations & Events

Emerging Trends in Environmental Diligence - What You Need to Know, and Why You Need to Know It May 17, 2022

Public Company Update 2022 January 28, 2022

Public Company Update 2021 Hodgson Russ LLP, January 28, 2021

Public Company Update 2020 Hodgson Russ LLP, January 30, 2020

18th Annual Camp Finance 2019: Building Capacity in a Changing Landscape Mohonk Mountain House, New Paltz, New York 12561, October 10, 2019

Public Company Update 2019 Hodgson Russ, Buffalo, NY, February 12, 2019

New York Council of Nonprofits, Inc. - Transgender Employees in the Workplace: Up to Date Guidance for Employers Camp Finance 2017 October 6, 2017

New York Council of Nonprofits, Inc. (NYCON) - Serving on a Non-Profit Board 101: Practical Considerations & General Overview of Non-Profit Landscape

Camp Finance 2017

October 5, 2017

New York Council of Nonprofits, Inc. - The American Workplace in Transition: What Every Employer Needs to Know Now

Camp Finance 2017

October 5, 2017