

## Securities

We manage the practical and legal issues involved in the private placement of securities.

### Emerging Businesses

New and emerging businesses need innovative, cost-effective strategies to finance their operations and raise capital. We guide early-stage business founders through creative structuring and flexible raises of capital.

From the beginning, our team plans carefully to anticipate and prevent disputes and business disruption, whether protecting the efforts of the founders or addressing the expectation of the capital investors. Whether helping with the initial equity raise (from friends and family) or bringing on venture capital or institutional investors, we help clients find and leverage the right resources to grow and prosper.

### Pooled Investment Structures

Moss & Barnett's securities and business lawyers provide tailored, cost-conscious counsel on pooled investment structures. Our services include private placement and investment documentation, federal and state investment advisor regulation, and disclosure matters.

While we focus primarily on small to midmarket funds – ranging from multimillion-dollar real estate investment funds to nine-figure venture capital funds – we recognize that deal size does not govern the complexity of the issues or the novelty of the solutions. Accordingly, clients benefit from our timely, pragmatic advice, creative strategies to reduce risk, and cost-effective legal solutions.

### Professionals

Jill M. DeRouche

Sandra L. Fussy

Peter J. Kaiser

Jena L. Kaufman

Anna M. Leonard

Michael R. Nixt

Suzanne M. Rivers

Brian J. Schoenborn

Alex R. Schoephoerster

Christopher D. Stall

## News

Brian T. Grogan Re-elected and Brian J. Schoenborn Elected to Moss & Barnett Board of Directors  
01.24.2024

Timothy L. Gustin and Christopher D. Stall Elected to Moss & Barnett Board of Directors  
02.23.2023

Alex R. Schoephoerster Named to the Class of 2021 "5 Under 40" by *St. Cloud Times/LocalIQ*  
*St. Cloud Times/LocalIQ*, 03.28.2022

Moss & Barnett Announces New Shareholders: Bradley R. Armstrong, Lindsay L. Case, Maggie H. Garborg, Peter J. Kaiser, John P. Kennedy, and Erik L. Romsaas  
03.02.2022

Moss & Barnett Announces New Shareholders: Kathy Y. Allen, Kelly C. Engebretson, Brittney M. Miller, John M. Schmid, and Alex R. Schoephoerster  
01.20.2021

John P. Boyle and Jana Aune Deach Elected to Board of Directors and Beth A. Gliedman Elected a Shareholders of Moss & Barnett  
05.02.2019

Moss & Barnett Expands with Arrival of Craig A. Brandt, Peter J. Kaiser, and Jeffrey A. Wieland  
04.18.2019

## Events

Early Stage Financing for Startup Companies  
2022 Business Law Institute (Live In-Person Seminar and Live Simulcast Webinar), Minnesota CLE Conference Center, 600 Nicollet Mall, Suite 370, Third Floor City Center, Minneapolis, MN 55402,  
05.09.2022

Understanding What Matters in Venture Capital Deals  
Minnesota CLE Conference Center, 600 Nicollet Mall #370, 3rd Floor, City Center, Minneapolis, MN 55402, 08.26.2019

## **Publications**

Attention Businesses: FinCEN Wants Your Information  
*Moss & Barnett Advocate (Fall 2023/Winter 2024 edition)*, 02.26.2024

## **Related Practices**

Business Law

Closely Held Businesses

Employment Law

Mergers and Acquisitions

Technology

