

Shareholder Activism

We have a practice in the area of shareholder activism and activist investing, with an expertise in the applicable corporate laws, securities laws, tender offers, and proxy battles.

Serving activists, occasional activists, and issuers, we provide advice on navigating the maze of applicable laws and regulations. We assist with matters involving Regulation 13D/G, the proxy rules, Section 16(b), trading rules, Hart-Scott-Rodino and other applicable federal and state securities and corporate laws, as well as other tax and regulatory issues. The firm counsels clients on a wide variety of activist and defensive strategies, from long-term partnerships with management to proxy contests and consent solicitations. We have extensive experience dealing with advance notice bylaws; assisting with “books and records” and shareholder register demands; handling regulatory approvals, investigations and legislative hearings; effecting board changes; and engaging in defensive and offensive litigation.

Experience

Prosecuted Individual Shareholder’s Breach of Fiduciary Duty and Minority Oppression Claims to Judgment

Prosecuted \$1.6 Million Broker Liability Fiduciary Duty Breach

Defended Majority Business Owner Against Claims in Excess of \$2 Million

Firm News

Attorney Steven Sims Joins Amundsen Davis’s Corporate Practice Group
January 2, 2020

Events

The Corporate Paralegal: Overcoming Top Challenges
Speaking Engagement, Institute for Paralegal Education; Webinar, March 8, 2018

Published Works

State of Illinois Force Majeure Law Compendium (during COVID-19 pandemic)
USLAW, June 5, 2020

PROFESSIONALS

Eric M. Fogel
Partner

Vic Peterson
Partner

Steven K. Sims
Partner

Karen A. Tobin
Partner

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