

# Larry Tomlin

Indianapolis, IN

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**PARTNER**

## Overview

An insightful and persuasive advisor, Larry provides legal services to financial institutions of all sizes including commercial banks, thrifts, bank holding companies and public and private corporations. He routinely advises financial institutions with respect to dealing with bank regulatory agencies, responding to adverse regulatory examinations, and implementation of new regulations under the Dodd-Frank Wall Street Reform and Consumer Protection Act. Larry has served as counsel in numerous transactions involving mergers and acquisitions, hostile takeovers, issuer tender offers, and the formation of de novo financial institutions. He previously served as the regulatory compliance consultant to the Indiana Bankers Association and its member financial institutions.

As a member of the Cryptocurrency, Blockchain and FinTech group, Larry provides regulatory advice with respect to the Bank Secrecy Act (BSA), Anti-Money Laundering (AML) and Know-Your Customer policies and procedures. He also has experience advising public and private companies on all aspects of the fundraising process and compliance with the securities laws.

Additionally, Larry advises public and private companies on corporate governance issues and state and federal securities matters. His experience includes regulatory compliance, contract development and review, general business matters, preparation and review of securities filings and a broad range of transactions including numerous mergers, acquisitions and divestitures.

## Experience

Outside General Counsel to an Owner-Operated Freeze Dryer Company

Advise Multiple Regional Bank Holding Companies and their Subsidiary Financial Institutions on all Aspects of their Operations

## SERVICES

Banking & Finance  
Corporate & Securities  
Corporate Governance  
Cryptocurrency, Blockchain & FinTech  
Mergers & Acquisitions  
Outside General Counsel  
Securities & Capital Markets

## EDUCATION

Indiana University Maurer School of Law, J.D., 2001  
Webster University, M.A., 1992  
Indiana University, B.S., 1989

## ADMISSIONS

Indiana

Larry Tomlin

Assisted an Indiana Bank Holding Company and its Subsidiary in its Conversion from a "C" Corporation to a "S" Corporation

Serves as Outside General Counsel for an Automobile Glass Company

Represented Manufacturing Company in Connection With the Sale of the Company's Assets

Represents a State Savings Bank on Various State Regulations and Prepares Files for all Registration Documents for Successful Mortgage Lending in all 50 States

### Membership & Involvement

- Member: Indianapolis Bar Association; American Bar Association; Indiana Bankers Association
- Former Board of Directors: Young Audiences of Indiana

### Honors

- Selected to *The Best Lawyers in America*® in Banking & Finance Law: 2022, 2023, 2024
- Selected to *The Best Lawyers in America*® in Corporate Law: 2022, 2023, 2024
- Selected to *The Best Lawyers in America*® in Financial Services Regulation Law: 2022, 2023, 2024
- Selected to *The Best Lawyers in America*® in Mergers & Acquisitions Law: 2022, 2023, 2024
- Selected to the Indiana Super Lawyers list: 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019
- Selected to the Indiana Super Lawyers "Rising Stars" list: 2011

### Firm News

92 Amundsen Davis Attorneys Recognized by Best Lawyers® in 2024 in America Firm News, August 17, 2023

41 Amundsen Davis Attorneys Recognized by *Best Lawyers in America*© 2023 Firm News, August 18, 2022

34 Amundsen Davis Attorneys Recognized by *Best Lawyers in America*© 2022 August 19, 2021

30 Amundsen Davis Attorneys Recognized in *Best Lawyers in America*© 2021 August 20, 2020

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6 Amundsen Davis Attorneys Included on 2019 Indiana Super Lawyers and Rising Stars Lists  
January 31, 2019

6 Amundsen Davis Attorneys Included on 2018 Indiana Super Lawyers and Rising Stars Lists  
Firm News, *Amundsen Davis*, February 20, 2018

5 Amundsen Davis Attorneys Included on 2017 Indiana Super Lawyers and Rising Stars Lists  
Firm News, *Amundsen Davis*, February 2017

8 Attorneys Join Our Indianapolis Office  
Firm News, September 2016

Amundsen Davis Recognized by Indiana Bankers Association as Diamond Associate Member  
Firm News, May 10, 2016

4 Amundsen Davis Attorneys Included on 2016 Indiana Super Lawyers and 2016 Indiana Rising Stars Lists  
Firm News, *Amundsen Davis*, February 12, 2016

### Alerts

Has Spring Finally Arrived for Indiana Financial Institutions and their Deposit Accounts?

Silence is *Not* Always Golden: Land v. IU Credit Union

Bad Relationships: New Regulatory Guidance for Banks on Managing Risks Associated with Third-Party Relationships

CARES Act Stimulus Package Summary

Federal Non-SBA Loans and Loan Guarantees Under the CARES Act

Are Self-Assessments of Diversity Policies and Practices Truly Voluntary?

### Speaking Engagements

Coronavirus Aid, Relief, and Economic Security Act (CARES Act): How Employers Can Take Advantage  
Speaking Engagement, Illinois Manufacturers' Association, Webcast, April 2, 2020

Buying and Selling a Business: Start-to-Finish - Properly Prepare Your Client: Secrets From a Veteran M&A Attorney  
National Business Institute; Indianapolis, IN, December 11, 2017

Considerations in Raising Capital by Community Banks  
Tri-State Banking and Capital Markets Forum; Fort Wayne, IN, November 1, 2017

Banking Regulation and Compliance  
Indiana Continuing Legal Education Forum; Indianapolis, IN, October 5, 2017

Regulation A+ Innovative Alternatives to Private Equities and PIPEs  
Amundsen Davis and Dresner Corporate Services, Chicago, Seminar, May 25, 2016

### Published Works

Changes to Unclaimed Property Policies and Procedures Necessitated by adoption of the RUPA  
*Hoosier Banker Magazine*, July/ August 2021

COVID-19: Main Street Loan Facility and Main Street Expanded Loan Facility – Side By Side Comparison  
Article, *Amundsen Davis Financial Services Alert*, April 20, 2020

### Blog Posts

Big Labor Continues to Target the Financial Industry  
*Labor & Employment Law Update*, December 21, 2022

Big Labor is Targeting Banks and Credit Unions??  
*Labor & Employment Law Update*, March 21, 2022

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