

Prosecuted \$1.6 Million Broker Liability Fiduciary Duty Breach

Amundsen Davis prosecuted a \$1.6 million broker liability (breach of fiduciary duty claim) for retirees who entrusted retirement savings to a financial advisor who had been a family friend who invested contrary to representations to client and SEC.

PROFESSIONALS

Daniel S. Peters
Partner

Christopher O. Miller
Partner

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